

TRANSLATION

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>P803845/WO/1</b>	<b>FOR FURTHER ACTION</b>		See Form PCT/IPEA/416
International application No. <b>PCT/DE2004/002507</b>	International filing date (day/month/year) <b>12.11.2004</b>	Priority date (day/month/year) <b>10.12.2003</b>	
International Patent Classification (IPC) or national classification and IPC <b>B23H9/10</b>			
Applicant <b>MTU AERO ENGINES GMBH</b>			

<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>6</u> sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input checked="" type="checkbox"/> (<i>sent to the applicant and to the International Bureau</i>) a total of <u>1</u> sheets, as follows:</p> <p><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>
---

<p>4. This report contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the report</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p>
---

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/DE2004/002507

## Box No. I Basis of the report

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
 

This report is based on translations from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:
 
  - international search (Rule 12.3 and 23.1(b))
  - publication of the international application (Rule 12.4)
  - international preliminary examination (Rule 55.2 and/or 55.3)
2. With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):
 

the international application as originally filed/furnished

the description:
 

pages 1, 2 \_\_\_\_\_ as originally filed/furnished

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the claims:
 

nos. \_\_\_\_\_ as originally filed/furnished

nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19 10.10.2005 with letter of 10.10.2005

nos.\* 1, 2 \_\_\_\_\_ received by this Authority on \_\_\_\_\_

nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the drawings:
 

sheets \_\_\_\_\_ as originally filed/furnished

sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.
3.  The amendments have resulted in the cancellation of:
 

the description, pages \_\_\_\_\_

the claims, nos. 3, 4 \_\_\_\_\_

the drawings, sheets/figs \_\_\_\_\_

the sequence listing (*specify*): \_\_\_\_\_

any table(s) related to sequence listing (*specify*): \_\_\_\_\_
4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
 

the description, pages \_\_\_\_\_

the claims, nos. \_\_\_\_\_

the drawings, sheets/figs \_\_\_\_\_

the sequence listing (*specify*): \_\_\_\_\_

any table(s) related to sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/DE2004/002507Box No. V **Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

## 1. Statement

Novelty (N)	Claims	1, 2	YES
	Claims		NO
Inventive step (IS)	Claims	1, 2	YES
	Claims		NO
Industrial applicability (IA)	Claims	1, 2	YES
	Claims		NO

## 2. Citations and explanations (Rule 70.7)

Reference is made to the following document:

D1: EP-A-1 314 507

Novelty

The amended claim 1 is novel. The cited prior art discloses a process for producing a turbine wheel using "blisk" technology, whereby the blades are electrochemically machined with cathode tools (see in particular document D3 (EP-A-1 314 507, but also EP 0 327 657 A1 and EP 0 292 213 A1)), and also surface structuring using electrochemical machining methods (see in particular D1 and D2). However, none of the cited documents disclose a single combined production step for simultaneously producing turbine blades and machining the blade surfaces using a boundary-layer-minimising structure that is a reverse image of the cathode tool surface.

Thus none of the processes disclosed in the cited prior art have all the features specified in the amended claim 1. The amended claim 1 is therefore novel (PCT Article 33(2)).

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/DE2004/002507Box No. V **Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**Inventive step

Document D3 discloses a process for producing blades on the outer circumference of a turbine wheel using "blisk" technology, the contouring being achieved by electrochemical machining with cathode tools. In view of the modified focus of the claims, document D3 can be regarded as the closest prior art.

The process according to the amended claim 1 differs from what is known from D3 in that at the contouring stage, in a single production step, the surfaces of the blades are provided with a boundary-layer-minimising structure that is a reverse image of the cathode tool surface. The technical effect is the fact that the blades can be made in a single production step, and that with the structure-modified cathode tools it is possible to contour the blades and at the same time apply a boundary-layer-minimising structure.

Thus the objective problem addressed by the invention is that of how to modify the process of D3 in such a way as to speed up blade production.

The problem is solved by the process defined in the amended claim 1, according to which at the contouring stage, in a single production step, the surfaces of the blades are provided with a boundary-layer-minimising structure that is a reverse image of the cathode tool surface.

This solution is not obvious for the following reasons:

Document D3 (and also in EP 0 327 657 A1 and EP 0 292 213 A1)

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/DE2004/002507Box No. V **Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

shows only how the blade blanks have to be moved relative to the cathodes during the electrochemical machining process in order to achieve their aerodynamic contouring. Because of the special blade geometry, the cathodes are moved both towards each other and relative to the blade blanks. The cathodes are also turned relative to the blade blanks. However, there is no mention in any of these documents of machining the blade surfaces with a boundary-layer-minimising structure that is a reverse image of the cathode tool surface.

Documents D1 and D2 disclose processes for rejuvenating cooling passages by electrochemical machining. Structures are introduced into the passages to create turbulence in the cooling air that flows through them. The turbulence is intended to increase the efficiency of the energy/heat transfer from the cooling passage surfaces to the cooling air so as to improve the cooling effect.

Thus the structures disclosed in D1 and D2 are not boundary-layer-minimising structures. In contrast to the structures of D1 and D2, the boundary-layer-minimising structures are designed to eliminate turbulence so as to increase the efficiency of the blades by ensuring a laminar, turbulence-free flow. For this reason, boundary-layer-minimising surface structures differ entirely in their complexity from the turbulence-creating structures of D1 and D2.

Moreover, the cooling passage surfaces in D1 and D2 are structured by introducing the cathodes into the passages, but they are not moved during the electrochemical machining process. In particular, there would be no other way to

**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**

International application No.  
PCT/DE2004/002507

**Box No. V** **Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

create the circular or linearly interrupted turbulator structures.

This is why there are adjacent conductive and insulating regions on the surface of the cathode.

Moving the cathodes in D1 and D2 would result in surface structures that were completely different from what was required, and therefore they are not moved.

At most, the teachings of D1 and D2 might indicate to a person skilled in the art that in order to apply boundary-layer-minimising structures to the surfaces, the cathodes should not be moved relative to the blades. As a result, the skilled person would not combine the techniques disclosed in D3 and D1/D2 in a single step for the electrochemical machining of blade blanks, because in one instance relative movement is necessary but in the other instance it would cause damage.

Taking D3 as the closest prior art, the skilled person would therefore not be able to arrive at the full combination of features in the amended claim 1. The rotor blade claimed in the amended claim 1 therefore involves an inventive step (PCT Article 33(3)).

The remaining claim (claim 2) relates to a special embodiment of the process according to the amended claim 1, and is therefore also novel and inventive.